

# SEARCHED

Class	Sub.	Date	Exmr.
436	500	8-5-03	D.A.D.
436	501	8-5-03	D.A.D.
436	504		
436	505		
435	7.5		
435	7.9		
update search	2-2-04		D.A.D.
update search	10-26-04		D.A.D.

# INTERFERENCE SEARCHED

Class	Sub.	Date	Exmr.

# SEARCH NOTES (INCLUDING SEARCH STRATEGY)

	Date	Exmr.
STIC	8-5-03	D.A.D.
IOS	8-5-03	
Palm Investor search	8-5-03	
East	8-5-03	
STN investor search	8-6-03	D.A.D.
Discussed case strategy with primary examiner KENNEDY. found trading that trader disadvantages facing a RIA. (8-7-03)		
updated search	2-2-04	D.A.D.
update search	10-26-04	D.A.D.